

XIV Specific Progress Regulations for Doctor of Philosophy by Prior Publication

These regulations should be read in conjunction with the:

- [Doctor of Philosophy Progress Regulations](#)
- [Doctor of Philosophy Assessment Regulations](#)
- [Code of Practice for Research Degree Programmes](#) approved by Senate, which is reviewed annually and made available each academic year

These regulations use Academic Unit, as an overarching term for School and Institute.

Where reference is made in these regulations to any named University role, such references are to be read as including reference to their nominees.

A. Introduction

1. Applicants for the degree of Doctor of Philosophy by Prior Publication are required to show ability to construct original investigations, to explore critically, evaluate and test their ideas and those of others, and to relate them to a wider body of knowledge.
2. The University's [Code of Practice for Research Degree Programmes](#) provides further details in the section, 'Criteria for the Doctorate'.

B. General Entrance Requirements

Pre-application process

3. In order for an applicant to be considered for admission to the PhD by Prior Publication, the University will require a letter of support from the applicant's employer (if applicable) along with the required body of work for entry to this programme. A non-refundable deposit of £250 will be applied in the pre-acceptance phase, which will be payable by the applicant.

Note: For applicants who will be registered in the Faculty of Medical Sciences, the applicant must be an AstraZeneca employee. The Faculties of Science, Agriculture and Engineering and Humanities and Social Sciences have no restrictions on the student's employer.

4. The collection of publications must be commensurate with the expected body of work achieved in the minimum candidature period of a traditional PhD in the relevant discipline. The number of publications should be increased pro-rata if jointly authored publications are submitted. (*Further information is available [here](#).)*
5. All publications must have been produced within a minimum of three years and a maximum of six years.
6. The applicant's employer (if applicable) will provide any suitable applicant with an agreement in principle to undertake the PhD by Prior Publication as part of their Continued Professional Development, providing the required study time and tuition fee funding for the programme.

Application process

7. Once written approval has been provided by the applicant's employer (if applicable) the applicant should then submit a postgraduate application via the [Newcastle admissions system](#).

8. The application will require a copy of the applicant's CV, previous qualifications, a cover letter (explaining their experience and research so far), the intended publications to be submitted as part of the PhD, confirmation letter from the applicant's employer (if applicable (committing to the required study time and tuition fee funding for the programme), and the contact details for two referees.

9. The applicant should submit an [Outside Study form](#) as part of their application to the PhD by Prior Publication.

C. Period of Study and Registration Requirements

10. The minimum candidature period for the PhD by Prior Publication will be 12 months.

11. The maximum candidature will be 24 months.

12. Students who have the required number of publications by the end of the 12-month minimum candidature period may register as 'pending submission' for up to 12 months. The 'pending submission' period is not subject to tuition fees.

13. If after 12 months, the student does not have the expected body of work achieved in the minimum candidature phase of a traditional PhD in the relevant discipline, the student may register for up to 12 months and the PhD by Prior Publication fee will be charged (pro rata).

14. If a student is unable to submit by the 24-month maximum candidature deadline, an extension to the thesis submission deadline will be required which includes additional fees as stipulated in the University's [Fee Schedule](#), and is subject to normal University approval processes. ([See Section M of the Doctor of Philosophy Progress Regulations.](#))

D. Attendance and Progress

15. The tuition fee will include:

- Academic supervision (Minimum of 2 supervisors);
- General administrative costs from application through to examination and award;
- Access to Newcastle University support services, general facilities, and IT account (Library, hot-desk, software, etc.);
- Postgraduate Researcher development training programme;
- Examination costs.

16. This fee will not include:

- IT Hardware provision;
- Research costs budget;
- Access to laboratories, equipment, or consumables;
- Travel and associated expenses.

E. Supervision

17. The student will be supported with a minimum of 10 meetings per annum with one or more of the supervisory team.

F. Training and Induction

18. The student will be provided with a hybrid training programme that will include both in-person block attendance on campus (induction with supervisory team, and University induction), and access to online training workshops.

19. It is anticipated that the core training will be a maximum of 15 hours training with access to additional resources via the Faculty Researcher Development Programme. The relevant Faculty will curate a suggested list but leave this open to individual requirements.

20. Core training will include:

- Enrolment and Induction;
- Introduction to academia (research degree management and academic skills support);
- Writing support to develop a thesis by publication;
- Bespoke career development coaching session;
- Additional internal training opportunities may be provided directly by the student's employer (where applicable).

G. Project Approval

21. The student will be expected to undertake project approval within three months of registration, in accordance with [Section J of the Doctor of Philosophy Progress Regulations](#).

H. Annual Progress Review

22. The student will have their progress reviewed annually, in accordance with [Section J of the Doctor of Philosophy Progress Regulations](#).

J. Examination

23. The Doctoral candidate will be required to produce a final thesis that incorporates the body of work and a 10,000-word Doctoral Statement, which meets the requirements of the PhD by Prior Publication under Faculty/University regulations. (Further information is available [here](#).)

24. In the case of jointly authored publications included in your published work submission, you will submit a form for each publication indicating the percentage share of the work attributable to you. (The Co-Authorship Form is available [here](#).)

25. Upon submission of the final thesis and papers an examination period would then commence in accordance with [Section XI Doctor of Philosophy Assessment Regulations](#) and all the Doctor of Philosophy examination outcomes will be available to the examiners.

26. Entry to the programme will not guarantee award of the qualification.